

Binary Trees

Definitions:

- *binary tree*: a tree in which every node has at most two children.
- *binary search tree*: a *binary tree* with the additional requirement that for each node:
 - the values in the *left subtree* are *smaller* than the node's value
 - the values in the *right subtree* are *greater* than the node's value.

Node classification:

- *leaf*: a node that has no children
- *internal*: a node that is not a leaf node (i.e. has at least one child)

Height definition:

- the *height of a node* is the longest path (i.e. number of hops) from the node to a leaf
- the *height of a binary tree* is the height of the *root node* (i.e. number of levels minus 1)

Binary Tree classification (varies across textbooks):

- *perfect*: binary tree in which all levels, including the last, are *fully packed*, i.e.
 - all *internal nodes* have *two* children
 - all *leaves* are at the *same level*
- *complete*: binary tree in which all levels are *fully packed*, except for the last level, which may be missing nodes at the end; for example, *Heap*
- *full*: binary tree in which all nodes have wither 2 or 0 children; for example, *Huffman Tree*



Height of a Perfect Binary Tree is $O(\log n)$

Let n be the number of nodes in a *perfect binary tree*.

Let l_k denote the number of nodes on level k , where the levels are numbered $0, 1, 2, \dots, h$.

The last level, h , represents the *height* of the tree, i.e. the number of *hops*. Note, however, that the total number of levels is $h + 1$ since we count from 0.

Note that:

- $l_k = 2l_{k-1}$, i.e. each level has exactly twice as many nodes as the previous level (since each *internal* node has *exactly* two children)
- $l_0 = 1$, i.e. on the “first level” we have only one node (the root node).
- from CS201 the recurrence $l_k = 2l_{k-1}$ solves to $l_k = 2^k$, but we can also observe this as a pattern in the tree:

level	# nodes	
0	1 = 2^0	*
1	2 = 2^1	* *
2	4 = 2^2	* * * *
3	8 = 2^3	* * * * * * * *
.
k	2^k	
.		
h	2^h	* * * the leaves * * *

Our tree has a total of n nodes. Another way to count the total is to add the number of nodes on the individual levels:

$$1 + 2^1 + 2^2 + 2^3 + \dots + 2^h = n$$

From CS 201 we know that:

$$1 + 2^1 + 2^2 + 2^3 + \dots + 2^h = 2^{h+1} - 1$$

Therefore:

$$\begin{aligned} 1 + 2^1 + 2^2 + 2^3 + \dots + 2^h &= n \\ 2^{h+1} - 1 &= n \\ 2^{h+1} &= n + 1 \\ \log_2 2^{h+1} &= \log_2(n + 1) \\ (h + 1) \log_2 2 &= \log_2(n + 1) \\ h + 1 &= \log_2(n + 1) \\ h &= \log_2(n + 1) - 1 \end{aligned}$$

Finally, we have $h = \log_2(n + 1) - 1$, or $h \approx \log_2 n$, so h is $O(\log n)$

Now that we know the *height of the tree* we can compute the number of leaves, l_h , in the tree. We observed earlier that $l_h = 2^h$ so we can substitute the value of h in this expressions:

$$\begin{aligned} l_h = 2^h &= 2^{\log_2(n+1)-1} = 2^{\log_2(n+1)} / 2^1 = (n + 1) / 2 \\ &\text{(using } a^{b-c} = a^b / a^c \text{ and } a^{\log_a b} = b) \end{aligned}$$

In summary, we learned that:

- the *height* is $h = \log_2(n + 1) - 1$, i.e. h is $O(\log n)$
- the *number of leaves* is $l_h = (n + 1) / 2$, i.e. roughly half of the nodes are at the leaves.

Examples of Recursive Methods

Adding the values of the nodes in a binary tree:

```
procedure ADD(root):  
  if root is nil:  
    return 0  
  else:  
    s1 = ADD(left[root])  
    s2 = ADD(right[root])  
  
    return data[root] + s1 + s2
```

Calculating the height of the tree (for empty tree defined height to be 0):

```
procedure HEIGHT(root):  
  if root is nil:  
    return 0  
  else:  
    h1 = HEIGHT(left[root])  
    h2 = HEIGHT(right[root])  
  
    return 1 + MAX(h1, h2)
```