

Analysis of Graph Algorithms

We first analyze *Breadth First Search* with a *rough analysis* of the algorithm in order to develop some intuition. We then build on this analysis to provide a more accurate estimate.

Breadth First Search Rough Analysis

Here is the pseudocode for the algorithm along with the estimated time complexity for each line:

```
procedure BFS( $G$ ,  $src$ ):
1  for  $v \in V$ :                 $V$  times
2      unmark  $v$                  $O(1)$ 
3      reset  $parent$              $O(1)$ 
4      reset  $distance$           $O(1)$ 
5  reset  $src$  distance           $O(1)$ 

6  mark  $src$                      $O(1)$ 
7   $Q \leftarrow$  Make-Queue( $src$ )  $O(1)$ 

8  while  $Q \neq \emptyset$ :       $V$  times
9       $v \leftarrow$  Pop( $Q$ )       $O(1)$ 

10 for  $u \in Adjacent[v]$ :       $E_i$  times
11     if  $u$  is unmarked:       $O(1)$ 
12         update  $u$             $O(1)$ 
13         mark  $u$                $O(1)$ 
14         Add( $Q$ ,  $u$ )           $O(1)$ 
```

The time complexity estimates in the pseudocode above come from the following observations:

- First consider the complexity of the *Queue* operations. If we use a *Linked List* with pointer to the *tail node* the *Queue* operations *MakeQueue*, *Add*, and *Pop* can be implemented efficiently in $O(1)$.
- $O(V)$, Lines 1-5, *Init*:
Initialization is $O(V)$ since the loop is executed once per vertex and we do constant amount of work per vertex.
- $O(1)$, Lines 6-7, *Setup*:
This is $O(1)$ given the previous note about *LinkedList* as a choice for *Queue*.
- **Line 8, While Loop**:
The **while** loop is executed V times. This may not be clear immediately, but it follows from the fact that each vertex will enter the **Queue** *exactly once* and will leave the **Queue** *exactly once*. This is ensured by the marking strategy – once a vertex enters the **Queue** it is marked which prevents it from entering the **Queue** twice.
- $O(V)$, **Line 9, Finish**:
Line 9, *Pop*(Q), which is $O(1)$, is executed V times by the **while** loop (once per vertex) after which the **Queue** is empty.
- $O(V \cdot E)$, **Lines 10-14, Explore**:
The **for** loop in Line 10 will execute at most E times. The **for** loop simply looks at the adjacent edges of v , so at most we may have to examine all edges in the graph. The work inside the **for** loop is $O(1)$ and since these lines are repeated V times by the **while** loop, the total is $O(V \cdot E)$.

Overall the time complexity is:

$$\begin{aligned} &Init(Lines\ 1 : 5) + Setup(Lines\ 6 : 7) + Finish(Line\ 9) + Explore(Lines\ 10 : 14) \\ &O(V) + O(1) + O(V) + O(V \cdot E) = O(V \cdot E) \end{aligned}$$

Our estimate of $O(V \cdot E)$ suggests that the algorithm is impractical for *dense* graphs. If the graph is fully connected, i.e. every vertex is connected to every other vertex, then we can estimate that $E \approx V \cdot V$ (actually $E = V \cdot (V - 1)/2$), which implies that *BFS* is $O(V \cdot E) = O(V^3)$, i.e. not practical for large graphs.

Breadth First Search Precise Analysis

We now consider a more accurate analysis of *BFS*. The overestimate in our analysis is in *Line 10*. Clearly, we do not need to explore all edges in the graph for each vertex. Instead, for each vertex v we only explore the adjacent edges for this vertex which is some number $Adj_v = E_v$.

The precise analysis breaks *Explore(Lines 10 : 14)* by looking at the time spent to process each vertex during its *Finish* and *Explore* steps. Here is the **while** loop, shown as the individual V cycles along with work per vertex:

Popped	#Adjacent	Work per Adjacent Lines 11-14
v_1	E_{v_1}	$O(1)$
v_2	E_{v_2}	$O(1)$
v_3	E_{v_3}	$O(1)$
\dots	\dots	\dots
v_V	E_{v_V}	$O(1)$
Total	$\sum E_{v_i}$	$\cdot O(1)$

What remains is to see if we can provide an estimate for $\sum E_{v_i}$. We claim that

$$E_{v_1} + E_{v_2} + E_{v_3} + \dots + E_{v_V} = 2E$$

Even though we do not know the individual terms in the above summation we actually know the overall value of the summation itself. This value is just $2E$, since every time we look at an adjacent vertex we effectively look at one of the edges (a, b) . Also, each edge (a, b) is looked at *twice* — once from the point of view of vertex a and once from the point of view of vertex b (we are assuming undirected graph).

Finally, we get

$$Explore(Lines\ 10 : 14) = \sum E_{v_i} \cdot O(1) = 2E \cdot O(1) = O(E)$$

Note that we do not multiply by V for the **while** loop, since the individual cycles of the **while** loop are already taken into account in the rows of the table.

Replacing in the earlier analysis, we get:

$$Init(Lines\ 1 : 5) + Setup(Lines\ 6 : 7) + Finish(Line\ 9) + Explore(Lines\ 10 : 14)$$

$$O(V) + O(1) + O(V) + O(E) = O(V + E)$$

This is much better than the first estimate. If the graph is fully connected, in which case $E \approx V^2$, we get that *BFS* is $O(V + V^2)$ or $O(V^2)$, not $O(V^3)$.

The analysis of Prim's algorithm is almost identical to the analysis of *BFS*. The only difference comes from the fact that we use *PriorityQueue*, so the complexity of the operations is no longer $O(1)$.

There are two operations to consider: **Pop-Min** and **Decrease-Key**. The second operation should rearrange the *PriorityQueue* after the *comparison value/key* is updated. In *Prim* this value is the **distance** field of a vertex.

Here are a few possibilities for *PriorityQueue* and the complexity of operations:

	Make-PQ	Pop-Min	Decrease-Key
BST	$O(n \log n)$	$O(\log n)$	$O(\log n)$ but need to Remove+Add
Heap	$O(n)$	$O(\log n)$	$O(\log n)$ only Push-Up
Fibonacci Heap	$O(n)$	$O(\log n)$	$O(1)$
LinkedList	$O(n)$	$O(n)$	$O(1)$

The *LinkedList* is given as an exercise. In principle, *PriorityQueue* may manage the items by storing them in a *sorted* or *unsorted* linked list, although this may not be ideal implementation in most cases.

The analysis below will be based on the *Heap* option. Effectively, this means that in the algorithm analysis, which is essentially the analysis of *BFS*, we need to multiply by a factor of $\log V$.

procedure Prim(G, src):			
1	for $v \in V$:	V times	
2	unmark v		$O(1)$
3	reset <i>parent</i>		$O(1)$
4	reset <i>distance</i>		$O(1)$
5	reset <i>src</i> distance		$O(1)$
6	$PQ \leftarrow \text{Make-PQ}(V(G))$		$O(V)$
7	$T \leftarrow \text{Make-Graph}(V(G))$		$O(1)$
8	while $Q \neq \emptyset$:	V times	
9	$v \leftarrow \text{Pop-Min}(Q)$		$O(\log V)$
10	mark v		$O(1)$
11	Add($T, \text{edge}(u, v)$)		$O(1)$
12	for $u \in \text{Adjacent}[v]$:	E times	
13	if u is unmarked and $\text{dist}[u] > \text{weight}(v \rightarrow u)$:		$O(1)$
14	$\text{dist}[u] \leftarrow \text{weight}(v \rightarrow u)$, i.e. Decrease-Key		$O(\log V)$
15	update parent		$O(1)$

The analysis is as follows:

- $O(V)$, Lines 1-5, **Init**:
Same as in *BFS*.
- $O(V)$, Lines 6-7, **Setup**:
Building a *Heap* with all vertices is $O(V)$ and building an empty *Graph* is $O(1)$.
- **Line 8, While Loop**:
Executes V times. The *PriorityQueue* starts with all vertices and one vertex is popped per cycle.
- $O(V \log V)$, Lines 9-11, **Finish**
Same as *BFS* but removing from the *PriorityQueue* is $O(\log V)$.
- $O(E \log V)$, Lines 9-11, **Finish**
Similar to *BFS* in the table below.

The table from *BFS* for the **while** loop analysis is adapted to *Prim* to reflect the log factor per queue operation:

Popped	#Adjacent	Work per Adjacent, Lines 13-15 dominated by Line 14:Decrease-Key
v_1	E_{v_1}	$O(\log V)$
v_2	E_{v_2}	$O(\log V)$
v_3	E_{v_3}	$O(\log V)$
\dots	\dots	\dots
v_V	E_{v_V}	$O(\log V)$
Total	$\sum E_{v_i}$	$O(\log V)$

Finally, we get

$$Explore(Lines\ 12 : 15) = \sum E_{v_i} \cdot O(\log V) = 2E \cdot O(\log V) = O(E \log V)$$

and overall

$$Init(Lines\ 1 : 5) + Setup(Lines\ 6 : 7) + Finish(Lines\ 9 : 11) + Explore(Lines\ 12 : 15)$$

$$O(V) + O(V) + O(V \log V) + O(E \log V) = O((V + E) \log V)$$

The same analysis also applies to *Dijkstra's Algorithm*, since the two algorithms only differ in what value they compute for the *dist[]* field. Thus, both algorithms are $O((V + E) \log V)$ if *Heap* is used.

Note: *Line 14* requires special attention, since it depends on the choice of *PriorityQueue*. As an exercise repeat the analysis for the various *PriorityQueue* options.